

PATENT
DOCKET NO: 30055.800US01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
Dominic Greco)
Serial No.: (to be assigned)) Examiner: (to be assigned)
Filed: (herewith)) Group Art Unit: (unknown)
For: A METHOD, APPARATUS AND)
SYSTEM FOR CONTROL AND)
ASSESSMENT OF RISK IN)
COMMERCIAL TRANSACTIONS)

CERTIFICATE UNDER 37 CFR 1.10

Date of Deposit: 1/22/02

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I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

By: Margaret R. Johnson

Name: MARGARET R. JOHNSON

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.56, 1.97 AND 1.98

BOX APPLICATION - FEE
Assistant Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever occurs last. 37 C.F.R. §1.97(b).
2. ☐ The Information Disclosure Statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or after the mailing date of the first Office Action on the merits, but before the mailing date of either (1) a final action under §1.113 or (2) a note of allowance under §1.311, whichever occurs first.



CERTIFICATION OR FEE

A. Included with this transmittal is:

- i. ☐ a certification (set below) in accordance with 37 C.F.R. §1.97(e)

OR

- ii. ☐ the attached fee set forth in 37 C.F.R. §1.17(p) for submission of an Information Disclosure Statement under §1.97(c) (\$210.00).

3. ☐ The Information Disclosure Statement transmitted herewith is being filed **after** a final action under §1.113 or a Notice of Allowance under §1.311, whichever occurs first, but before, or simultaneously with the payment of the issue fee.

CERTIFICATION, PETITION AND FEE

A. In accordance with the requirements of 37 C.F.R. §1.97(d):

- i. Set forth below is a certification as specified in 37 C.F.R. §1.97(e).
- ii. Applicant hereby petitions for the consideration of the accompanying information disclosure statement. 37 C.F.R. §1.97(d)(ii).
- iii. Applicant submits the petition fee set forth in §1.17(i)(1) \$130.00).

CERTIFICATION

(Required if 2Ai or 3 above is marked.)

4. I, the person signing below, certify

- ☐ that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

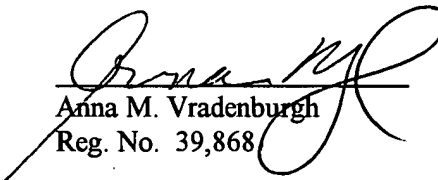
OR

- ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

5. ☐ The Commissioner is hereby authorized to charge payment of the fees associated with this communication or credit any overpayment to Deposit Account No. 19-3725. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: 1/22/02

By: 
Anna M. Vradenburgh
Reg. No. 39,868

AMV/mrj
Enclosure: form PTO-1449

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U.S. Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Attorney Docket No. : 30055.800US01	Serial No. (to be assigned)
	Applicant: DOMINIC GRECO	
	Filing Date: (herewith)	Art Group: (to be assigned)

51000 U.S. PTO
10/056241
10/22/02

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Sub-Class	Filing Date if Appropriate
	5 6 1 1 0 5 2	3/11/1997	Dykstra et al.			
	5 6 9 6 9 0 7	12/9/1997	Tom			
	5 8 7 0 7 2 1	2/9/1999	Norris			
	5 8 7 8 4 0 3	3/2/1999	DeFrancesco, et al.			
	5 9 3 0 7 7 6	7/27/1999	Dykstra et al.			
	5 9 4 0 8 1 2	8/17/1999	Tengel, et al.			
	6 0 2 9 1 4 9	2/22/2000	Dykstra, et al.			
	6 0 8 8 6 8 6	7/11/2000	Walker, et al.			
	6 1 1 2 1 9 0	8/29/2000	Fletcher, et al.			
	6 2 0 8 9 7 9	3/27/2001	Sinclair			
	6 3 1 7 7 2 7	11/13/2001	May			
	6 3 2 4 5 2 4	11/27/2001	Lent, et al.			

FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Sub-Class	Translation Yes/No

OTHER DOCUMENTS
(Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.